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## UTILITY **PATENT APPLICATION** TRANSMITTAL

99,069 Attorney Docket No. Raymond G. Wallace First Inventor MEDICAL IMPLANT INSERTION SYSTEM

Express Mail Label No EL036742835US

(Only for new horiprovision	ai applications under 37 CFR 1.55(b)	LAPIES	3 Mail Label 140.				
APPLICATION ELEMENTS		ADI	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application				
See MPEP chapter 600 concerning utility patent application contents.			Washington, DC 20231				
See MPEP chapter 600 concerning utility patent application contents.  Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)			ADDRESS TO: Box Patent Application				
i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)		15.	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		16.	16 Other:				
6. Application Data Sheet. See 37 CFR 1.76							
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Divisional  Continuation-in-part (CIP)  of prior application No.:  Prior application information  Examiner  Group / Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.							
The incorporation can only be	relied upon when a portion has been in			application part	<b>6.</b>		
18. CORRESPONDENCE ADDRESS							
Customer Number or Bar Code Label  Or Sorrespondence address below  (Insert Customer No. or Attach bar code fabel here)							
Name	Larry W. McKenzie	Reg. No	.: 28,239				
	Walker, McKenzie &		P.C.				
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Name (Print/Type)	Larry W. McKenzie	R	egistration No. (Atto	mey/Agent)	28,239		
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901-685-7428

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28,239

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# FEE TRANSMITTAL for FY 2001

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**TOTAL AMOUNT OF PAYMENT** 

(\$)	395.	00
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Complete if Known			
Application Number			
Filing Date	-		
First Named Inventor	Raymond G. Wallace		
Examiner Name			
Group Art Unit			
Attorney Docket No.	99,069		

METHOD OF PAYMENT	FEE CALCULATION (continued)					
1. X The Commissioner is hereby authorized to charge indicated feed and available and available to the commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated fees and credit any overpayments to:	Large Entity Small Entity Fee Fee Fee Fee Foo Bookinties					
Account 23-0125	Code (\$) Code (\$)	Fee Paid				
Number	105 130 205 65 Surcharge - late filing fee or oath					
Deposit Walker, McKenzie & Walker, P.C.	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139 130 139 130 Non-English specification					
Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination					
See 37 CFR 1.27  2.  Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to Examiner action					
Check Credit card Money Order Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action					
FEE CALCULATION	115 110 215 55 Extension for reply within first month					
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month					
Large Entity Small Entity	117 890 217 445 Extension for reply within third month					
Fee Fee Fee Fee Description	118 1,390 218 695 Extension for reply within fourth month					
Code (\$) Code (\$) Fee Paid	128 1,890 228 945 Extension for reply within fifth month					
101 710 201 355 Utility filing fee 355	119 310 219 155 Notice of Appeal					
106 320 206 160 Design filing fee	120 310 220 155 Filing a brief in support of an appeal					
107 490 207 245 Plant filing fee	121 270 221 135 Request for oral hearing					
108 710 208 355 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding					
114 150 214 75 Provisional filing fee	140 110 240 55 Petition to revive - unavoidable					
SUBTOTAL (1) (\$) 355.00	141 1,240 241 620 Petition to revive - unintentional					
2. EXTRA CLAIM FEES	142 1,240 242 620 Utility issue fee (or reissue)					
Fee from Extra Claims below Fee Paid	143 440 243 220 Design issue fee					
Total Claims 11 -20** = 0 X = 0	144 600 244 300 Plant issue fee					
Independent 2 - 3** = 0 x = 0	122 130 122 130 Petitions to the Commissioner					
Multiple Dependent =	123 50 123 50 Petitions related to provisional applications					
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Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)	\$40				
103 18 203 9 Claims in excess of 20	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))					
102         80         202         40         Independent claims in excess of 3           104         270         204         135         Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))					
109 80 209 40 ** Reissue independent claims over original patent	179 710 279 355 Request for Continued Examination (RCE)					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$) 0	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40						

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Larry W. McKenzie

Registration No. (Attorney/Agent)

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SUBMITTED BY

Name (Print/Type)

Signature

### **SPECIFICATION**

## (3) TITLE OF THE INVENTION:

## MEDICAL IMPLANT INSERTION SYSTEM

- (4) CROSS-REFERENCE TO RELATED APPLICATIONS:
- 5 Not Applicable.
  - (5) STATEMENT RE FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT:

    Not Applicable.
  - (6) REFERENCE TO A "MICROFICHE APPENDIX":

Not Applicable.

## (7) BACKGROUND OF THE INVENTION:

- 1. Field of the Invention: The present invention relates, in general, to medical implants such as punctal occluders or the like, and, more specifically, to systems including both medical implants and medical implant insertion instruments.
- 2. Information Disclosure Statement: Various small medical implants such as myringotomy tubes, punctal occluders (punctum plugs), and the like are often sold preloaded on disposable insertion instruments as a sterile unit or kit. Such practices save implantation time and insure that the implants are offered for implantation in a sterile condition.

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Punctal occlusion is becoming the most accepted clinical treatment for dry eye and related conditions. Today, all known suppliers of punctal occluders (punctum plugs) sell their plugs pre-loaded on insertion instruments as a sterile unit or kit (one sterile insertion instrument per sterile punctum plug). When the insertion of the plug is complete, the entire insertion instrument is immediately discarded. Unfortunately, this results in the majority of the purchase price of the punctum plug kit being discarded. This wasteful disposal of the entire insertion instrument has resulted in an artificially high delivery cost of punctal occlusion, a very inefficient use of valuable resources and a very unfortunate contribution to non-degradable waste in our environment.

A preliminary patentability search conducted in class 606, subclasses 108, 109, 185 and 191 produced the following patents which appear to be relevant to the present invention:

Akiyama, U.S. Patent 3,888,258, issued June 10, 1975, discloses an apparatus for introducing a drain for the eardrum.

Garnett et al., U.S. Patent 3,897,786, issued August 5, 1975, discloses a disposable apparatus for inserting a myringotomy tube.

Walchle et al., U.S. Patent 3,913,584, issued October 21, 1975, discloses an otological vent tube inserter.

Darnell, U.S. Patent 4,473,073, issued September 25, 1984, discloses a myringotomy tube inserter.

Leigh, U.S. Patent 5,172,701, issued December 22, 1992, discloses a single use biopsy device.

Arick, U.S. Patent 5,681,323, issued October 28, 1997, discloses a cricothyrotomy tube insertion device.

Mendius, U.S. Patent 5,741,292, issued April 21, 1998, discloses a punctum plug

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inserting instrument.

Wallace, U.S. Patent 5,830,171, issued November 3, 1998, discloses a punctal occluder.

Richter et al., U.S. Patent 5,868,697, issued February 9, 1999, discloses an intraocular implant and delivery device.

Nothing in the known prior art discloses or suggests the present invention. More specifically, nothing in the known prior art discloses or suggests a medical implant insertion system with a medical implant cartridge including a medical implant, a head having a first end and a second end, and a pin slidably extending through the head, the pin having a first end and a second end, the first end of the pin being located adjacent the first end of the head and being removably attached to the medical implant; the second end of the pin being positioned adjacent the second end of the head; and with a medical implant insertion instrument including a handle for removable attachment to the second end of the head of the medical implant cartridge, collet means for attachment to the second end of the pin of the medical implant cartridge when the handle is attached to the second end of the head of the medical implant cartridge, and actuator means for causing the medical implant to detach from the pin of the medical implant cartridge.

#### (8) BRIEF SUMMARY OF THE INVENTION:

The present invention provides a medical implant insertion system. A basic concept of the present invention is to provide a medical implant insertion system that consist, in general, of two components, a high quality reusable insertion instrument and a sterile, single use, pre-loaded cartridge.

The medical implant insertion system of the present invention comprises, in general, a medical implant cartridge including a medical implant, a head having a first end

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and a second end, and a pin slidably extending through the head, the pin having a first end located adjacent the first end of the head and removably attached to the medical implant, and having a second end positioned adjacent the second end of the head; and a medical implant insertion instrument including a handle for removable attachment to the second end of the head of the medical implant cartridge, collet means for attachment to the second end of the pin of the medical implant cartridge when the handle is attached to the second end of the head of the medical implant cartridge, and actuator means for causing the medical implant to detach from the pin of the medical implant cartridge.

One object of the present invention is to provide an economical, yet precise system for the delivery punctal occluders and the like.

#### (9) BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS:

Fig. 1 is a side elevational view of the medical implant insertion system of the present invention.

Fig. 2 is a side elevational view similar to Fig. 1 but showing the medical implant of the medical implant insertion system of the present invention exposed and ready for implanting.

Fig. 3 is an enlarged view of a portion of Fig. 2, showing an initial step of the implantation of the medical implant.

Fig. 4 is a view similar to Fig. 3 but showing the medical implant fully implanted and being released from the medical implant insertion instrument of the medical implant insertion system of the present invention.

Fig. 5 is a view similar to Figs. 3 and 4 but showing the medical implant insertion instrument of the medical implant insertion system of the present invention fully separated from and being pulled away from the medical implant.

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Fig. 6 is a side elevational view of a medical implant cartridge of the medical implant insertion system of the present invention.

Fig. 7 is a exploded view of the medical implant cartridge, showing a removable cap thereof separated from remainder thereof.

Fig. 8 is a sectional view substantially as taken on line 8-8 of Fig. 1 on an enlarged scale and with portions thereof broken away for clarity.

Fig. 9 is a sectional view similar to Fig. 9 but showing the medical implant and the medical implant insertion instrument of the medical implant insertion system of the present invention separated from one another.

Fig. 10 is a front elevational view of the medical implant insertion instrument of the medical implant insertion system of the present invention.

Fig. 11 is an enlarged sectional view of one end of the medical implant insertion instrument shown in Fig. 10.

Fig. 12 is a sectional view similar to Fig. 11 but showing certain parts thereof in a moved position.

Fig. 13 is an exploded view of the medical implant insertion instrument of the medical implant insertion system of the present invention, with parts thereof shown in section for clarity.

Fig. 14 is a front elevational view of the medical implant insertion instrument of the medical implant insertion system of the present invention, shown with a protective cap thereon.

Fig. 15 is an exploded view of the head and pin of the medical implant cartridge of the medical implant insertion system of the present invention, with parts thereof shown in section for clarity.

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## (10) DETAILED DESCRIPTION OF THE INVENTION:

A preferred embodiment of the medical implant insertion system of the present invention is shown in Figs. 1-15, and identified by the numeral 11. The medical implant insertion system 11 is designed for easy, economical and precise implantation of medical implants 13, and is especially designed for the implantation of punctal occluders (punctum plugs) such as the punctal occluder disclosed in Wallace, U.S. Patent 5,830,171, issued November 3, 1998, incorporated herein by reference. Such a medical implant 13 includes a first end 15, a second end 17, and an aperture 19 extending into the second end 17 (see Figs. 8 and 9) for receiving the tip of an insertion tool, etc.

The medical implant insertion system 11 includes at least one and preferably a plurality of medical implant cartridges 21. Each medical implant cartridge 21 incudes a medical implant 13, a head 23 having a first end 25 and a second end 27, and a pin 29 having a first end 31 and a second end 33. The pin 29 slidably extends through the head 23 with the first end 31 of the pin 29 being located adjacent the first end 25 of the head 23 and being removably attached to the medical implant 13 and with the second end 33 of the pin 29 being positioned adjacent the second end 27 of the head 23. The second end 33 of the pin 29 preferably has an enlarged portion 34 formed by a collar member or the like.

The medical implant insertion system 11 includes a medical implant insertion instrument 35. The medical implant insertion instrument 35 includes a handle 37 for removable attachment to the second end 27 of the head 23 of the medical implant cartridge 21, collet means 39 for attachment to the second end 33 of the pin 29 of the medical implant cartridge 21 when the handle 37 is attached to the second end 27 of the head 23 of the medical implant cartridge 21, and actuator means 41 for causing the medical implant 13 of the medical implant cartridge 21 to detach from the pin 29 of the

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medical implant cartridge 21.

At least the medical implant 13 and first end 25 of the head 23 of each of the medical implant cartridges 21 are preferably provided sterile, and a removable cap 43 is preferably provided for protecting the sterile medical implant 13, etc. The cap 43 is preferably a clear disposable member for being snapped over the first end 25 of the head 23 of the medical implant cartridge 21 similar to the removable cap of a fountain pen or the like.

The actuator means 41 preferably includes an actuator body 45 fixedly attached to the collet means 39 so that the collet means 39 will move with the actuator body 45, and an actuator button 47 for causing the actuator body 45 to move from a first or out position to a second or in position. The actuator means 41 also preferably includes an urging means 49, preferably a coil spring 50 or the like, for urging the actuator body 45 to the out position. The actuator body 45 preferably includes a inclined plane portion 51, and the actuator button 47 preferably includes a pusher portion 53 for engaging the inclined plane portion 51 of the actuator body 45 so that downward movement of at least one end of the actuator button 47 will cause the actuator body 45 to move to the in position. A protective pen clip style cover 54 may be provided for snapping over the first end of the handle 37 when then medical implant cartridge 21 is not mounted thereon for protecting the collet means 39, etc., and for allowing the medical implant insertion instrument 35 to be clipped to a physician's pocket similar to a fountain pen or the like.

The actual construction, design and size of the medical implant insertion system 11 may vary as will now be apparent to those skilled in the art. When used for inserting punctal occluders, the medical implant insertion system 11 is preferably substantially the

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same size and has substantially the same appearance as a typical fountain pen.

The head 23 may be constructed from two basic parts, an elongated cannula 55 and a body 57. The body 57 has a central aperture 59 therethrough sized on the first end so that the second end of the cannula 55 can be pushed thereinto to secure the cannula 55 and body 57 firmly together, and sized on the second end so that the first end of the handle 37 can be snapped thereinto to removably secure the handle 37 and medical implant cartridge 21 together. The cannula 55 and body 57 can, of course, be constructed as an integral, one-piece unit out of plastic or the like. The pin 29 may consist of an elongated metal wire 61 sized so that the first end thereof can be tightly pushed into the aperture 19 in the medical implant 13 to secure the medical implant 13 thereto, and a silicone collar 63 glued or otherwise fixed to the second end of the wire 61 to form the enlarged portion 34 of the second end 33 of the pin 29. To mount the pin 29 to the head 23, the first end 31 of the pin 29 is merely placed into the second end of the aperture 59, shook until it enters the cannula 55, and then pushed through the cannula 55 until the first end 31 of the pin 29 extends past the first end of the cannula 55. The medical implant 13 can then be placed on the first end 31 of the pin 29 and the cap 43 snapped onto the first end 25 of the body 57 of the head 23 over the medical implant 13. The entire medical implant cartridge 21 is sterilized and preferably packaged in a sterile package to allow removal of the sterile medical implant cartridge 21 using a standard "peel and drop" technique. The medical implant cartridge 21 is preferably provided as a tray having ten individually sterile, tear off packages, each including an individually sterile medical implant cartridge 21.

The handle 35 may be constructed in two anodized aluminum parts, a barrel front 65 and a barrel back 67 glued or cemented together during assembly. The barrel front 65 has a central aperture 69 that extends completely therethrough and a slot 71 that opens

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into the central aperture 69 for receiving the actuator button 47. The first end of the aperture 69 is preferably reduced or stepped down relative to the second end of the aperture 69. The barrel back 67 preferably has a dead end, central aperture 73 that extends rearwardly from the first end thereto.

The collet means 39 may be machined or otherwise formed with a slotted cylindrical first end having a central aperture 75 in at least the first end thereof for receiving the enlarged portion 34 of the pin 29 in a manner to hold the pin 29 to the collet means 39 for movement with the collet means 39. The central aperture 75 preferably extends completely through the collet means 39.

The actuator body 45 may be machined or otherwise formed with a boss 77 on the first end thereof for being inserted into and glued to the second end of the aperture 75 of the collet means 39 to secure the collet means 39 and actuator means 41 together. A flange 79 is preferably provided on the actuator body 45 adjacent the boss 77, and a second boss 81 is provided on the second end of the actuator body 45, with the inclined plane portion 51 located between the flange 79 and boss 81 and with the boss 81 having a cross sectional area smaller that the cross sectional area of the actuator body 45 immediately adjacent the boss 81.

To assemble the handle 37, the boss 77 of the actuator body 45 is inserted into the second end of the aperture 75 in the collet means 39 and the two parts glued together to join the collet means 39 and actuator means 41 together as a integral part. The coil spring 50, etc., is placed into the aperture 73 in the barrel back 67. The collet means 39 - actuator means 41 assembly is pushed into the aperture 69 of the barrel front 65 from the second end of the aperture 69. The flange 79 is engage the end of the stepped down portion of the aperture 69 to prevent the collet means 39 - actuator means 41 assembly from passing completely through the aperture 69. Next, the barrel

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front 65 and barrel back 67 are pushed together and glued or cemented together, etc., with the boss 81 on the second end of the actuator body 45 extending into the center of the coil spring 50, etc., to align the parts together. The slotted end 83 of the actuator button 47 is then slid into first end of the slot 71 and the rear end of the button 47 is pressed toward into the slot 71 until the button 47 snaps into place on the barrel front 65.

In the preferred manner of using the medical implant insertion system 11, a sterile package containing a sterile medical implant cartridge 21 is opened, using a standard "peel and drop" technique to drop the sterile medical implant cartridge 21 onto the physician's hand. The protective pen clip style cover 54, if used, is removed from the first end of the handle 37, and the second end 27 of the head 23 of the medical implant cartridge 21 is snapped onto the first end of the handle 37. When the second end 27 of the head 23 of the medical implant cartridge 21 is snapped onto the first end of the handle 37, the collar 63 of the pin 29 will extend into the central aperture 75 of the first end of the collet means 39. The removable cap 43 can then be gently removed from the head 23 by being pulled straight out, to expose the sterile medical implant 13 for insertion. The insertion of the medical implant 13 should follow standard or desired medical procedures. For example, in the case of a punctal occluder, dilation of the punctum and the use of topical anesthetic may or may not be required. A drop of ocular lubricant and/or antibiotic drop may be placed on the occluder to help facilitate insertion. The physician should hold the handle 37, using a natural grip, with the intended "trigger-finger" oriented over the actuator button 47. The instrument can then be used to insert the medical implant 13 to the proper position. Only after the implant 13 is in its desired position, the physician smoothly pushes the actuator button 47 to cause the actuator body 45 to move to the in position, and cause the collet means 39 to retract

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the pin 29 to the in position, separating the medical implant 13 from the pin 29, etc. Care should be taken not to prematurely push the actuator button 47 and prematurely release the implant 13. After insertion, the insertion site should be carefully inspected to confirm that the implant 13 has been properly placed. If adjustment is necessary, the use of forceps or a small dilator may be helpful. The remainder of the used medical implant cartridge 21 can then been pulled from the handle 37 and discarded, leaving the medical implant insertion instrument 35 for re-use.

Although the present invention has been described and illustrated with respect to a preferred embodiment and a preferred use therefor, it is not to be so limited since modifications and changes can be made therein which are within the full intended scope of the invention.

## (11) CLAIM OR CLAIMS:

1	1. A medical implant insertion system comprising:
2	(a) a medical implant cartridge including:
3	a medical implant,
4	a head having a first end and a second end, and
5	a pin slidably extending through said head, said pin having a first end and
6	a second end, said first end of said pin being located adjacent said first end of said head
7	and being removably attached to said medical implant; said second end of said pin being
8	positioned adjacent said second end of said head; and
<b>11</b> 9	(b) a medical implant insertion instrument including:
9 9 10 10 11 11 11 11 11 11 11 11 11 11 11	a handle for removable attachment to said second end of said head of said
11 11	medical implant cartridge,
12	collet means for attachment to said second end of said pin of said medical
≅ 13	implant cartridge when said handle is attached to said second end of said head of said
14 15 16	medical implant cartridge, and
15	actuator means for causing said medical implant of the medical implant
16	cartridge to detach from said pin of said medical implant cartridge.
1	2. The medical implant insertion system of claim 1 in which said medical implant
2	cartridge is sterile.
1	3. The medical implant insertion system of claim 2 in which said medical implant of
2	said medical implant cartridge includes a removable cap for protecting said medical
3	implant.

- 4. The medical implant insertion system of claim 3 in which is included a plurality of said medical implant cartridges.
- 5. The medical implant insertion system of claim 1 in which said actuator means of said medical implant insertion instrument includes an actuator body fixedly attached to said collet means so that said collet means will move with said actuator body; and in which said actuator means of said medical implant insertion instrument includes an actuator button for causing said actuator body to move from a out position and to an in position.
  - 6. The medical implant insertion system of claim 5 in which said actuator means of said medical implant insertion instrument includes an urging means for urging said actuator body to said out position.
- 7. The medical implant insertion system of claim 5 in which said actuator body includes a inclined plane portion; and in which said actuator button includes a pusher portion for engaging said inclined plane portion of said actuator body so that downward movement of said actuator button will cause said actuator body to move to said in position.
- 8. The medical implant insertion system of claim 1 in which said second end of said pin of said medical implant cartridge has an enlarged portion for receipt by said collet means of said medical implant insertion instrument.

9. The medical implant insertion system of claim 8 in which said enlarged portion 1 2 of said pin of said medical implant cartridge includes a collar member. 1 10. A medical implant insertion system comprising: 2 (a) a plurality of sterile medical implant cartridges, each of said sterile medical implant cartridges including: 3 a sterile medical implant, 4 5 a head having a first end and a second end, 6 a pin slidably extending through said head, said pin having a first end and 7 a second end, said first end of said pin being located adjacent said first end of said head and being removably attached to said medical implant; said second end of said pin being 8 9 positioned adjacent said second end of said head, and a removable cap for protecting said sterile medical implant; and **1**1 (b) a medical implant insertion instrument including: # 12 a handle for removable attachment to said second end of said head of one 13 14 14 15 15 of said medical implant cartridges, collet means for attachment to said second end of said pin of said one of said medical implant cartridges when said handle is attached to said second end of said head of said medical implant cartridge, and 16 actuator means for causing said medical implant to detach from said pin of 17 said one of said medical implant cartridges; said actuator means including an actuator 18 19 body fixedly attached to said collet means so that said collet means will move with said actuator body, an actuator button for causing said actuator body to move from a out 20 21 position and to an in position, and a spring member urging said actuator body to said out

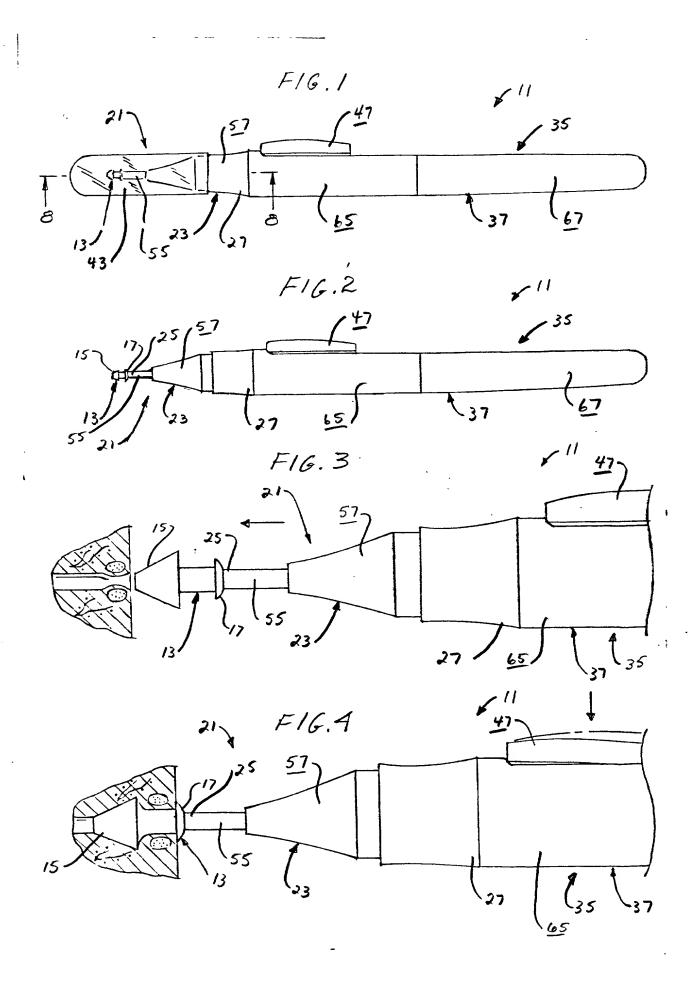
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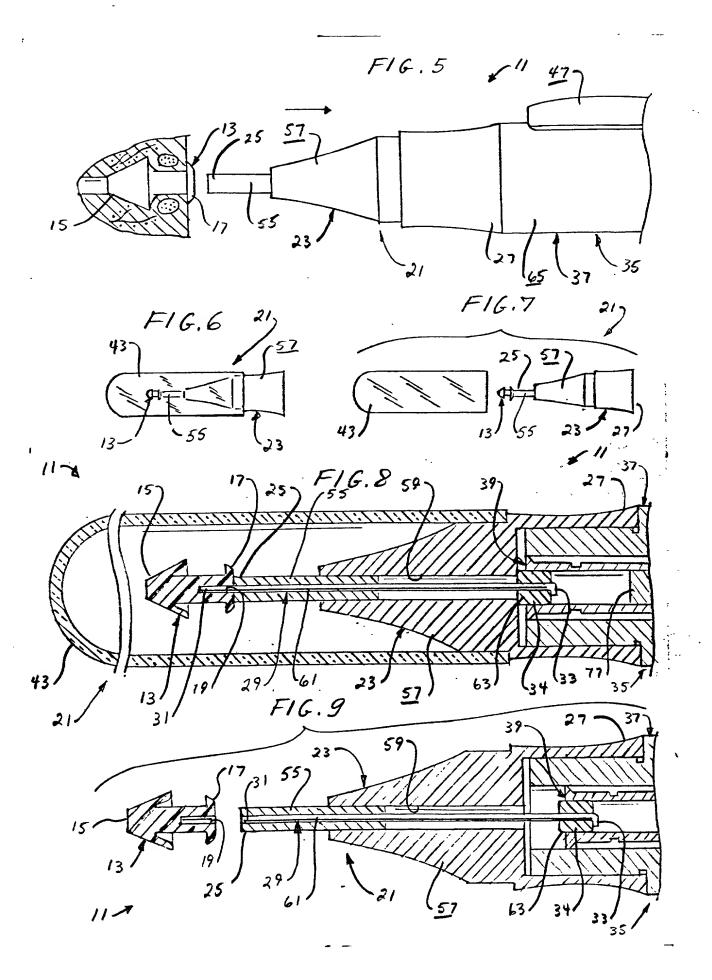
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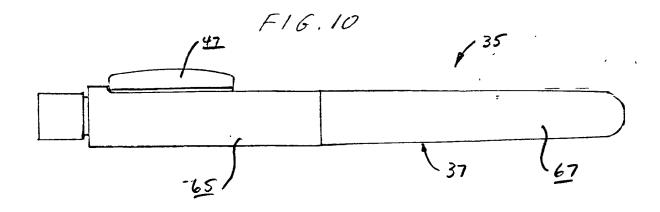
- 1 11. The medical implant insertion system of claim 10 in which said actuator body
- 2 includes a inclined plane portion; and in which said actuator button includes a pusher
- 3 portion for engaging said inclined plane portion of said actuator body so that
- 4 downward movement of said actuator button will cause said actuator body to move to
- 5 said in position.

## (12) ABSTRACT OF THE DISCLOSURE:

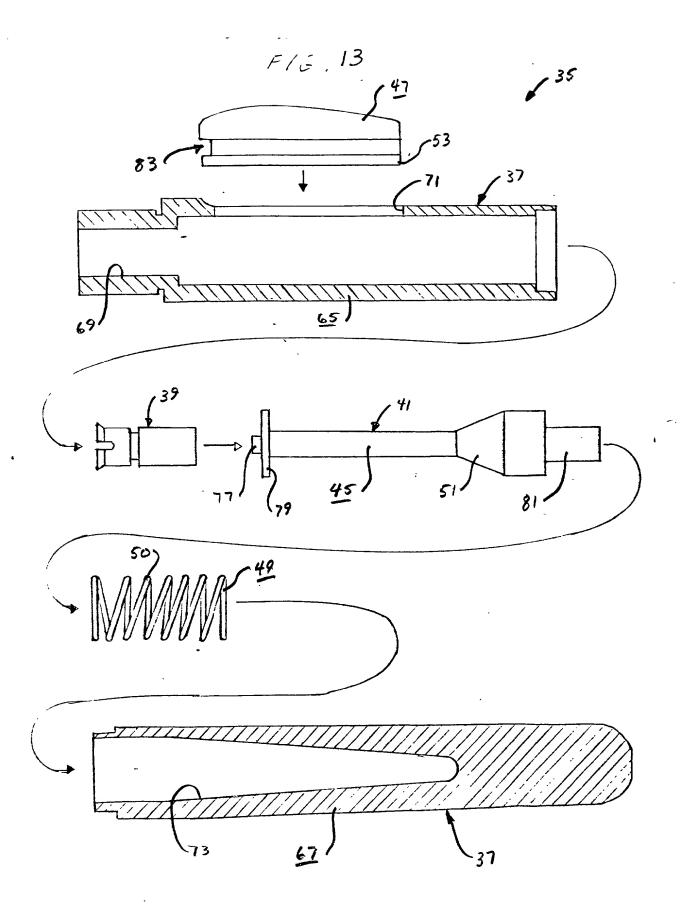
A medical implant insertion system comprising a medical implant cartridge including a medical implant, a head having a first end and a second end, and a pin slidably extending through the head, the pin having a first end and a second end, the first end of the pin being located adjacent the first end of the head and being removably attached to the medical implant; the second end of the pin being positioned adjacent the second end of the head; and a medical implant insertion instrument including a handle for removable attachment to the second end of the head of the medical implant cartridge, collet structure for attachment to the second end of the pin of the medical implant cartridge when the handle is attached to the second end of the head of the medical implant cartridge, and actuator structure for causing the medical implant to detach from the pin of the medical implant cartridge.

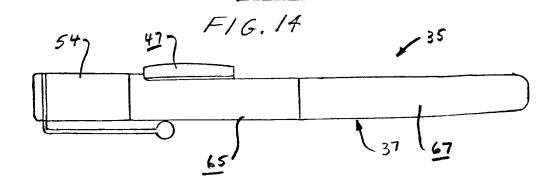


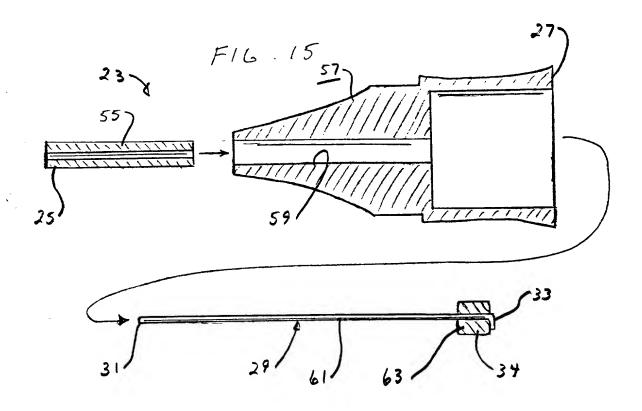


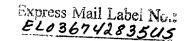


F16.11 711 F16.12









**COMPLETE IF KNOWN** 

**Attorney Docket Number** 

First Named Inventor

Application Number

Filing Date

Please type a plus sign (+) inside this box -> +

**DECLARATION FOR UTILITY OR** 

**DESIGN** PATENT APPLICATION

(37 CFR 1.63)

99,069

supplemental priority data sheet PTO/SB/02B attached hereto.

Raymond G.

Wallace

PTO/SB/01 (10-00)
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☑ Declaration	Declaration	Filing Date			
Submitted OR with Initial	Submitted after Init Filing (surcharge	ial Group Art Unit			
Filing	(37 CFR 1.16 (e)) required)	Examiner Nam	е		
					<del></del>
As a below named inventor, I he	ereby declare that:				
My residence, mailing address, ar	nd citizenship are as sta	ited below next to my nar	ne.		
I believe I am the original, first and names are listed below) of the sut	d sole inventor (if only o bject matter which is cla	ne name is listed below) imed and for which a pat	or an original, firs tent is sought on t	st and joint inver the invention en	ntor (if plural titled:
MEDICAL IMPLANT I	NSERTION SYSTE	EM			
the englification of which	(	Title of the Invention)			
the specification of which					
OR		as I Initad S	tates Application I	Number or DCT	· I=A
was filed on (MM/DD/YYYY)		as officed 3	tates Application	Number of PC1	international
Application Number and was amended on (MM/DD/YYYY) (if applicable).					
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.					
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.					
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.					
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Co YES	py Attached? NO
			0000	םםםכ	0000
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:					
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.					
Application Number(s)		e (MM/DD/YYYY)			
			Additiona numbers	al provisional ap are listed on a	plication

[Page 1 of 2]
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# **DECLARATION** — Utility or Design Patent Application

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made are punis		nment, or both, u						ts made on information and belief I false statements and the like so se statements may jeopardize the
NAME OF S	OLE OR FIRST INV	ENTOR:			A petit	ion has b	een file	d for this unsigned inventor
Given Name (first and middle	_				Family or Surn	Name	allac	
Inventor's Signature	2 0	<u>. Wal</u>	sec					Date (c/31/00
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Given Name (first and middle [if any])  Gary A.  Family Name or Surname  Tatge								
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	phis	State TN			ZIP	38133		Country USA
Additional in	ventors are being named	on thesup	plement	al Addition	ial Inver	ntor(s) sheet	(s) PTO/	SB/02A attached hereto.

### Express Mail Label No.: EL036742835US

Re: Patent Application

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Raymond G. Wallace and Gary A. Tatge

Assignee: Odyssey Medical, Inc..

For: MEDICAL IMPLANT INSERTION SYSTEM

Docket No.: 99,069

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

#### POWER OF ATTORNEY AND 1 CERTIFICATE UNDER 37 C.F.R. § 3.73(b) 2 3 The undersigned, assignee of the entire interest in and to an application of 4 Raymond G. Wallace and Gary A. Tatge for U.S. Letters Patent for a MEDICAL 5 IMPLANT INSERTION SYSTEM, executed by the inventors on the 31st day of October, 2000, and further identified by Docket No. 99,069, hereby appoints the following 6 7 attorneys to prosecute this application and transact all business in the Patent and 8 Trademark Office in connection therewith: 9 Larry W. McKenzie Russell H. Walker Registration No. 28,239 10 Registration No. 35,401 11 Send correspondence to: 12 Walker, McKenzie & Walker, P.C. 13 6363 Poplar Ave., Suite 434 14 Memphis, Tennessee 38119-4896 15 Direct telephone calls to Larry W. McKenzie at (901) 685-7428. 16 The below-identified Assignee certifies that it is the assignee of the entire 17 right, title and interest in the provisional patent application identified above by 18 virtue of an Assignment from the Inventor(s), a copy of which is attached hereto.

1	The undersigned has reviewed all the documents in the chain of title of the
2	patent application identified above and, to the best of the undersigned's
3	knowledge and belief, title is in the Assignee identified below.
4	The undersigned (whose title is supplied below) is empowered to sign this
5	certificate on behalf of the Assignee.
6	I hereby declare that all statements made herein of my own knowledge are
7	true, and that all statements made on information and belief are believed to be
8	true; and further, that these statements are made with the knowledge that willful
9	false statements, and the like so made, are punishable by fine or imprisonment, or
10	both, under Section 1001, Title 18 of the United States Code, and that such willful
11	false statements may jeopardize the validity of the application or any patent
12	issuing thereon.
13	Odyssey Medical, Inc., Assignee
14 15	Date: 10/31/00  By: Gary A. Tatge
16	President U